



## Client Enterprise Acceptance & Review.

# The comprehensive KYC solution from Jocata.

Regulators worldwide are increasingly focused on the ability of financial institutions to demonstrate that they know their customers. Evidence that the institution has performed sufficient customer due diligence must be captured at account opening, reviewed and updated periodically, and maintained for a period after the relationship is terminated. Without a robust KYC system, many financial institutions find it difficult to comply with regulatory and policy requirements and to monitor compliance over time.

### Say hello to Jocata CLEAR!

- ▶ **Use a flexible KYC Driver engine** to define requirements.
- ▶ **Manage global clients centrally**, without compromising on local compliance regulations and privacy requirements.
- ▶ **Perform due diligence and enhanced due diligence**, as required, on available customer data.
- ▶ **Calculate risk** by calibrating Jocata's proprietary model to the institution's needs or by creating new models. Create and manage multiple models, if required.
- ▶ **Verify identity** of customers using documentary and/or non-documentary methods. Configure built-in document matrices to auto-prompt requirements based on customer type, risk or other parameters.
- ▶ **Log correspondence** with customers for diligent follow ups and track efforts in keeping customer information up-to-date for regulatory purposes.
- ▶ **Match customers** against Politically Exposed Persons lists or UN Sanctions lists or other lists chosen. Also, define and manage internal lists for matching purposes.
- ▶ **Household customers** to identify hidden relationships using any of our industry leading algorithms.
- ▶ **Recertify customer information periodically** on a predefined time frame, based on risk level or important changes to customer information.
- ▶ **Remediate old customer accounts** with outdated or missing, information or documentation, another feature unique to Jocata CLEAR.
- ▶ **Mine this wealth** of customer data to discover patterns for cross-selling of products and services.



Provides a visual representation of key high-level information

Search, view, edit and track customer information

Manage, track and review tasks assigned or scheduled

You are logged in as David Mathew  
[User Settings](#) [Help](#) [Logout](#)

DASHBOARD
CUSTOMER FILES
TASKBOARD
AUDIT LOG
ADMIN
REPORTS

**JOCATA LLC.**

**CLEAR ID:** 00015

**Customer ID:** 04991V5385

**ID Type:** Tax ID Number

**Relationship Type:** Primary

**Incorporation Date:** 19-Jul-2003

**Principal Business Country:** U.S.A

**Customer Type:** Limited Liability Company

**Booking Locations:** U.S.A., India

**Status:** KYC Re-certified

**Date Relationship Established:** 10-Oct-2007

**Due Diligence Level:** SDD

**Legal Entity:** Arbitrage

**Risk Level:** Medium

**Business Unit:** Retail Banking

**Fictitious Account:** No

**Accounts**

**Beneficial Owners**

Customer Info
Client Profile
Relationship Information
Background Checks
Products and Services
Incoming and Outgoing Funds
Jurisdiction Top Ups

Legal Address line 1: 445 Park Avenue

Address line 2:

Country: United States

City: New York

State/Province: New York

Postal Code: 10022

Please tick check-box if Permanent Address is the same as Legal Address

Conduct due diligence in accordance with organizational policies and procedures

- Back To Search Results
- Customer Summary
- Due Diligence**
- Risk
- Documentation
- Client Correspondence
- List Matching
- Approvals & Sign off's
- Householding
- Restrictions
- Audit Log

Implement the organization's risk-ranking methodology consistently across the customer database

Identify and manage required customer documentation

Increase profitability by identifying cross-selling opportunities across customers

Choose from pre-determined reporting formats or create a custom report for specific reporting needs

Centrally manage and track customer information across defined jurisdictions, accommodating local privacy policy requirements